



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/565,601	01/24/2006	Kenichiro Tanaka	P29101	2841
7055 7590 05/06/2010 GREENBLUM & BERNSTEIN, P.L.C. 1950 ROLAND CLARKE PLACE RESTON, VA 20191				
EXAMINER				
NGUYEN, JOSEPH H				
ART UNIT		PAPER NUMBER		
2815				
NOTIFICATION DATE		DELIVERY MODE		
05/06/2010		ELECTRONIC		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com

pto@gbpatent.com

### Office Action Summary

**Application No.**

10/565,601

**Applicant(s)**

TANAKA ET AL.

**Examiner**

JOSEPH NGUYEN

**Art Unit**

2815

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 05 March 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/CD)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Inoue et al. (U.S. Patent No. 6,333,522) in view of Chen (U.S. Publication No. 2004/0026708) and further in view of Oohata (U.S. Publication No. 2003/0160258).

Regarding claim 1, Inoue et al. discloses, for example, in figure 7B a light emitting device formed depositing p type and n type nitride semiconductor layers comprising deposited p type and n type nitride semiconductor layers 35, 32; a semiconductor surface electrodes 5, 6 to apply currents into each of the semiconductor layers; an insulating layer 39 which holds the semiconductor layers, said insulating layer comprising two surfaces; and mount surface electrodes 25a, 24 provided on one surface of the insulating layer which is opposite to the other surface of the insulating layer where the semiconductor surface electrodes 5, 6 are made; wherein one of the semiconductor layers 32 has a non-deposited area where the other semiconductor layer is not deposited; one of the semiconductor surface electrodes 6 is built up on the surface of the non-deposited area; vias (holes formed in element 39 wherein conductive elements 25a, 24 are formed) are made in the insulating layer 39 which electrically

connect the semiconductor surface electrodes 5, 6 and the mount surface electrodes 25a, 24; the semiconductor surface electrodes 5, 6, the insulating layer 39, and the mount surface electrodes 25a, 24 are built up in this order on one side of the deposited semiconductor layers 32, 35; and a surface the other surface of the deposited semiconductor layers 32, 35 is used as light emitting surface. See col. 6, lines 40-65.

Nevertheless, Inoue et al. does not disclose the mount surface electrodes being structured and arranged to mount the light emitting device onto a mounting substrate by using solder. However, Chen discloses in Fig. 1 a light emitting device comprising the mount surface electrodes 41 (paragraph [0009] Chen teaches of a welding metal 41, which can function as "mount surface electrodes herein) being structured and arranged to mount the light emitting device onto a mounting substrate 50 by using solder 60 so as to improve the inject current capability in a light emitting device (paragraph [0010]). In view of such teaching, it would have been obvious at the time of the present invention to modify Inoue et al. by including the mount surface electrodes being structured and arranged to mount the light emitting device onto a mounting substrate by using solder so as to improve the inject current capability in a light emitting device.

Further, Inoue et al. does not disclose this light emitting surface emits light beams directly to outside from the semiconductor layers because there is a transparent sapphire substrate on which the semiconductor layers are formed. However, Oohata discloses in figure 1 a nitride base light emitting diode device comprising the semiconductor layers (1, 2, 3) wherein a surface of one side of the light emitting layers emits light beams (the arrow) directly to outside from the semiconductor layers. In view

of such teaching, it would have been obvious at the time of the present invention to modify Inoue et al. and Chen by including the light emitting surface emitting light beams directly to outside from the semiconductor layers so as to manufacture a light emitting diode device in a cost reduction manner (paragraph [0009]).

Regarding claim 2, Oohata discloses in paragraph [0056] the insulating layer (4) is made of resin.

Regarding claim 3, Inoue et al. discloses in figure 7B the vias are filled with electric conductor.

3. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Inoue et al. and Chen and Oohata, and further in view of Lowery et al. (U.S. Patent No. 6,878,973).

Regarding claim 4, Inoue et al. discloses in figure 7B substantially all the structure set forth in claims 4 except for phosphor being provided on the surface of the semiconductor layer. However, Lowery et al. discloses in figure 2 a nitride light emitting device comprising phosphor 17 is provided on a surface of the semiconductor layer 23 so as to reduce contamination of the light emitting diode by the phosphor material (Abstract). In view of such teaching, it would have been obvious at the time of the present invention to modify Inoue et al. and Chen and Oohata by including phosphor being provided on the surface of the semiconductor layer as to reduce contamination of the light emitting diode by the phosphor material.

***Response to Arguments***

4. Applicant's arguments with respect to claims 1-4 have been considered but are moot in view of the new ground(s) of rejection.
5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

***Conclusion***

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Nguyen whose telephone number is (571) 272-1734. The examiner can normally be reached on Monday-Friday, 8:30 am- 5:00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Ken Parker can be reached on (571) 272-2298. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300 for regular communications.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/J. N./

Examiner, Art Unit 2815

/Kenneth A Parker/

Supervisory Patent Examiner, Art Unit 2815